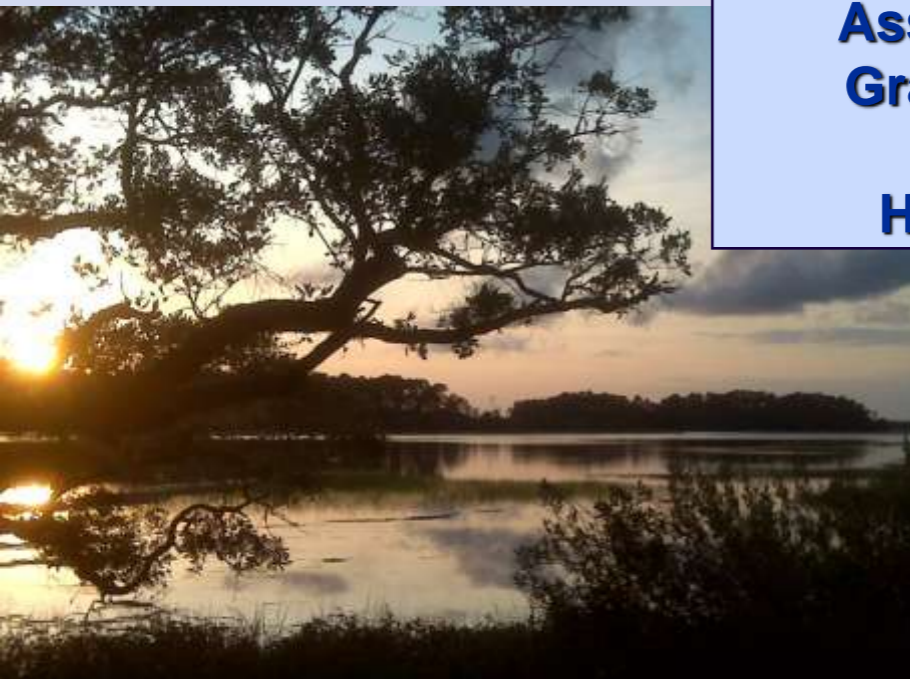


# **Molecular Biology of Hepatocellular Carcinoma and Targeted Therapies**

**First International Course on Translational Hepatology:  
Focus on HCV Disease  
March 9-11, 2011**

**Melanie B. Thomas, M.D.**  
**Associate Director of Clinical Investigations**  
**Grace E. DeWolff Chair in Medical Oncology**  
**Associate Professor**  
**Hollings Cancer Center Charleston, SC**



# Hepatocellular Carcinoma (HCC) is a Challenging Cancer

- **HCC is a complex malignancy:**

- Multiple etiologies:

- HBV, HCV, alcohol, fatty liver,

- hemochromatosis, alpha-1 anti-trypsin deficiency, other metabolic diseases,

- exogenous hormones, autoimmune hepatitis, aflatoxin-B exposure.

- Inflammatory environment of cirrhotic liver contributes to carcinogenesis.

- ***HCC is predicted to increase 4-fold in the U.S.***

- **Why is HCC Incidence Increasing?**

- Rising incidence of cirrhosis from multiple causes.

- Large pool of *>4,000,000 HCV+ individuals* who acquired HCV prior to identification & screening, plus *38,000 new cases annually*.

- Increasing prevalence of obesity, fatty liver (non-alcoholic fatty liver disease, NAFLD).

- Improved survival for patients with cirrhosis.

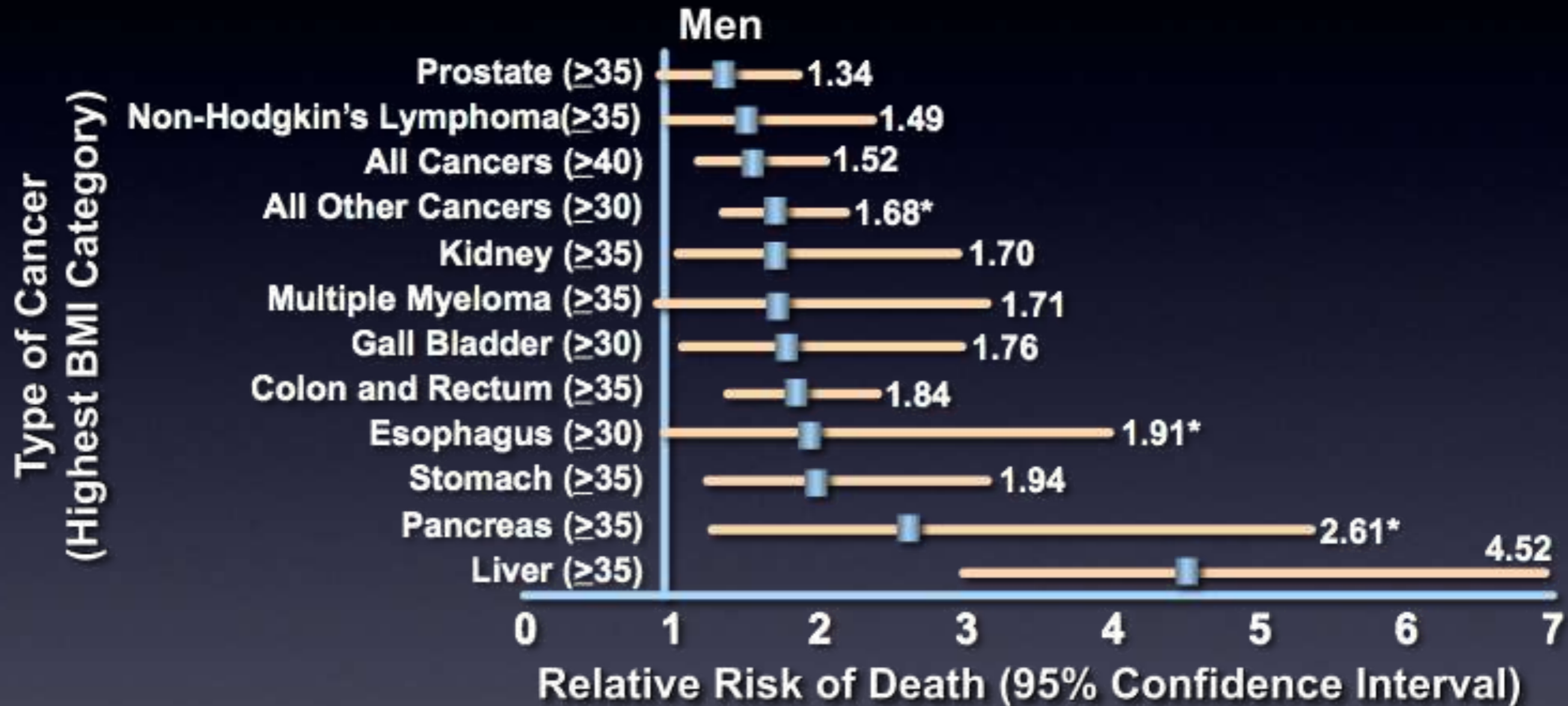
# Obesity and Liver Cancer



Galle, et al, *NEJM* 2009

## HCC Epidemiology

# Mortality from Cancer in Obese US Men (n=900,053)

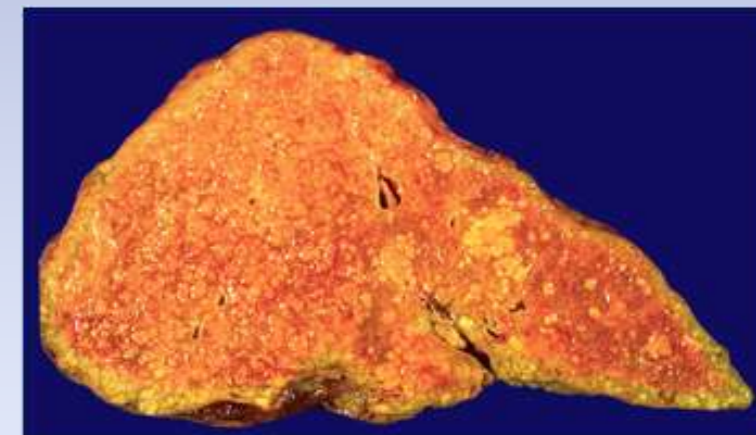
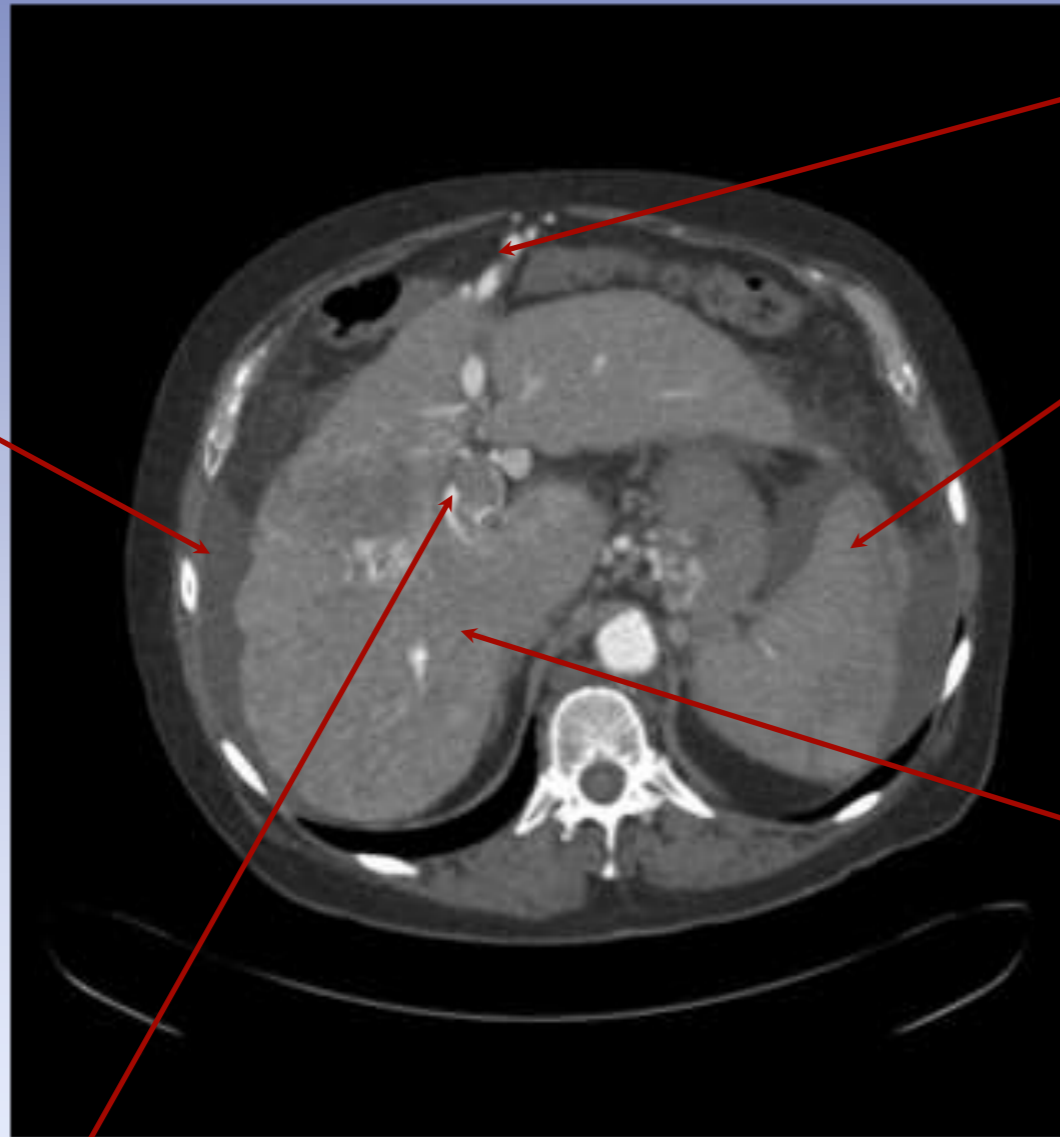


Calle EE, & et al, N Engl J Med 2003

# HCC is unique - one patient, two diseases:

## Consequences of cirrhosis:

Portal hypertension leads to splenomegaly, varices.



Ascites

Diffuse nodular, fatty liver

Main portal vein thrombus

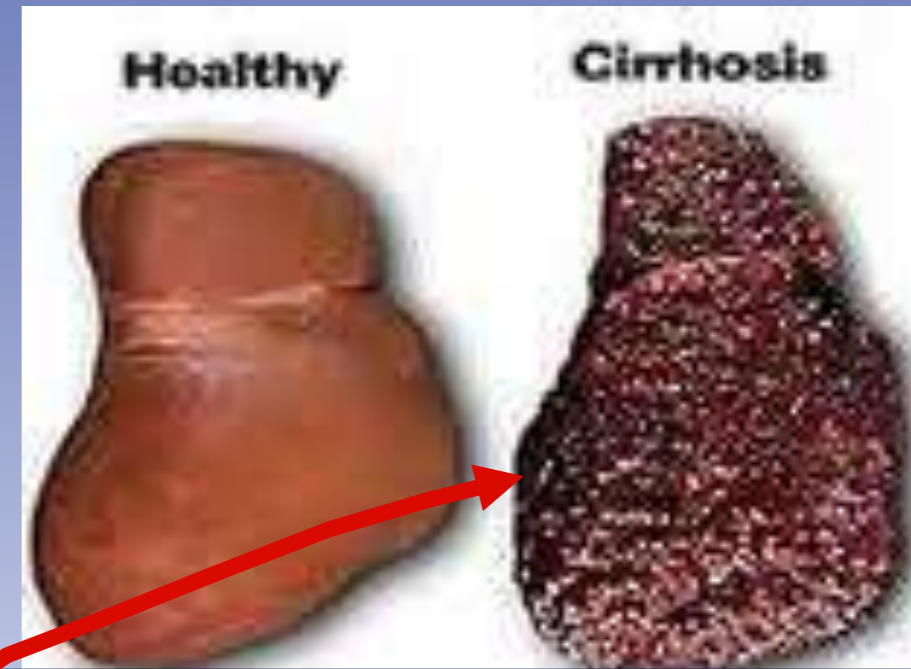
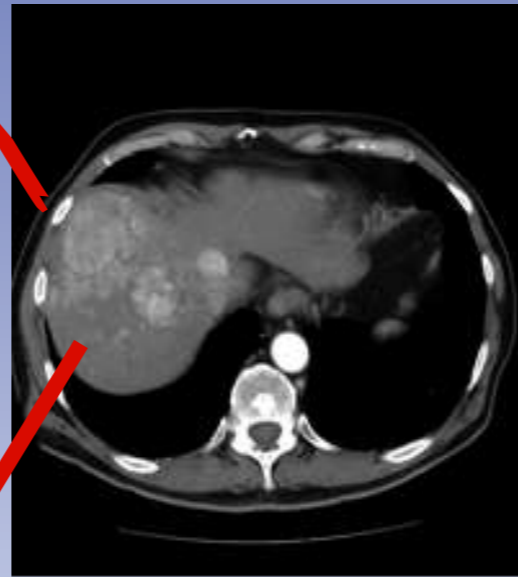
# HCC is unique - one patient, two diseases:

•HCC has multiple underlying etiologies > yields a molecularly complex tumor:

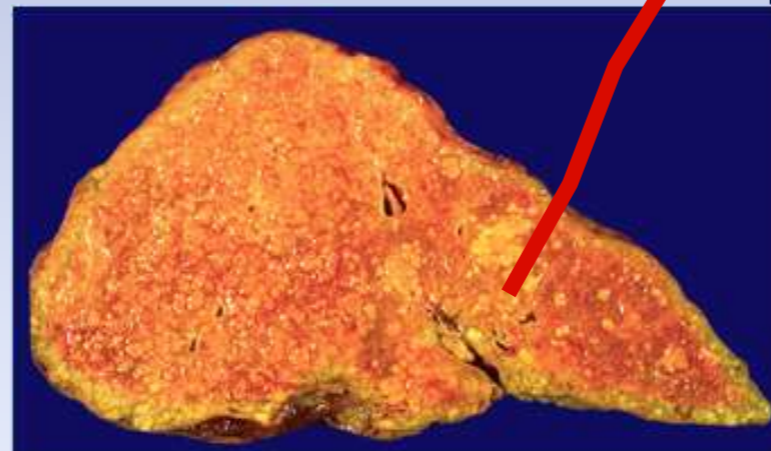
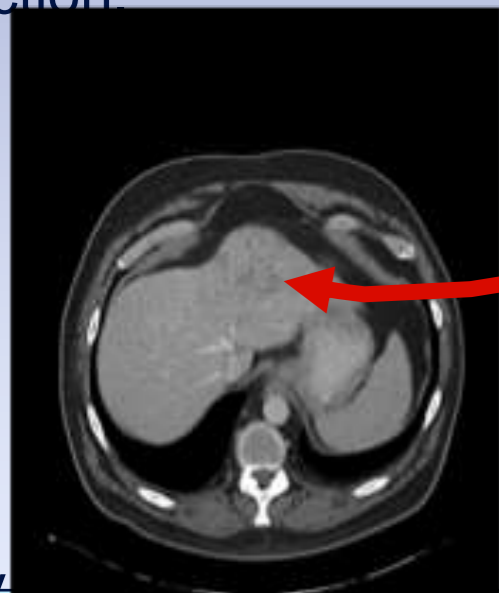


## HBV-related HCC.

- <50% develop in cirrhosis.
- Typically form large dominant masses with tumor capsule.
- Young patients, normal hepatic function



- Cirrhosis = “field defect” - entire liver is a premalignant lesion
- High recurrence rates after resection, locoregional therapy
- Cirrhosis > portal HTN > thrombocytopenia > impaired synthetic function >GIB risk.
- Co-morbidities of cirrhosis complicate clinical trial design for new chemotherapeutic agents, patient recruitment.



## HCV-related HCC.

- >90% in cirrhosis.
- Diffuse, infiltrative, multifocal HCC.
- Older patients, mild-severe hepatic dysfunction

# Current HCC Treatment Algorithm

## Liver Transplantation

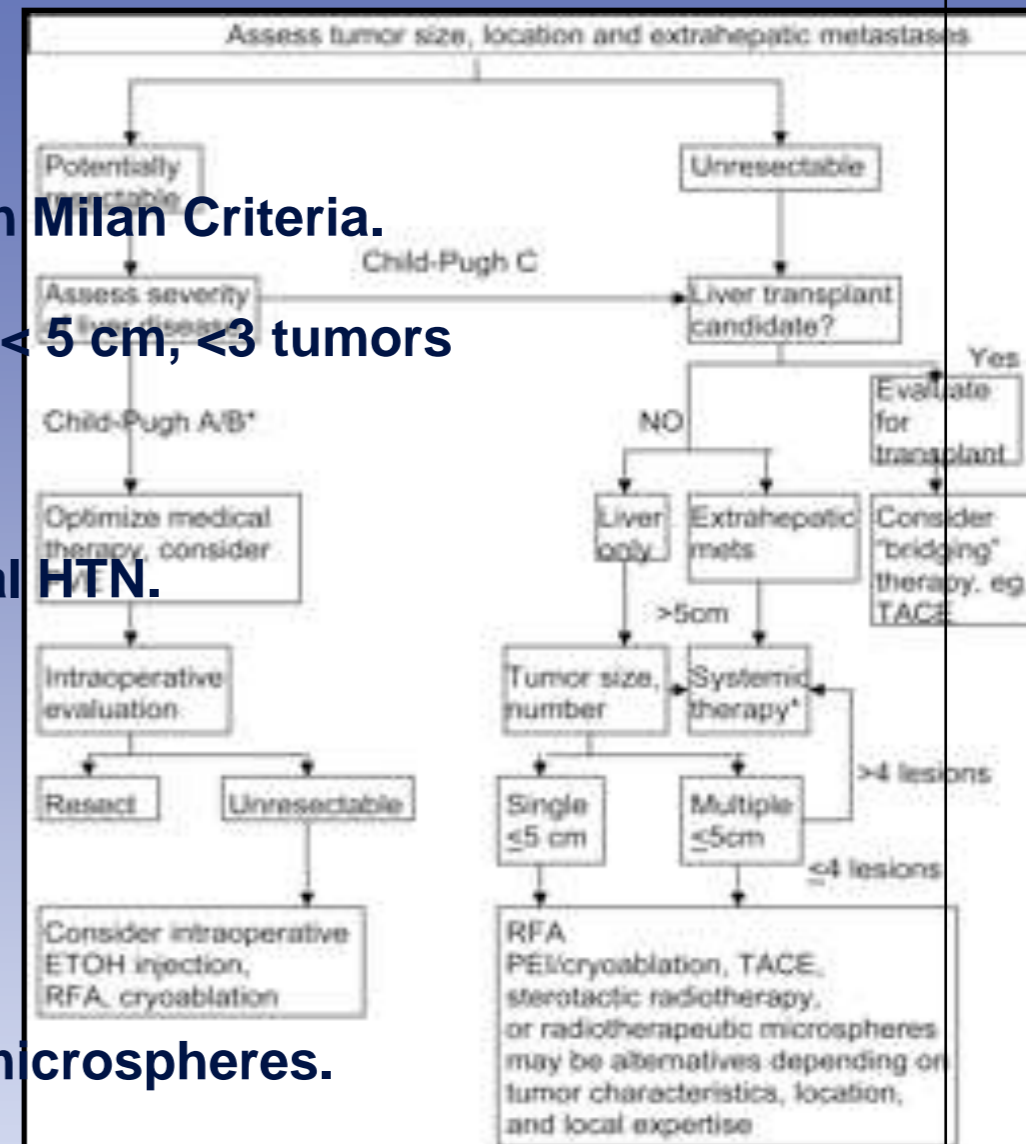
- Current 5 year survival 65-75% for patients transplanted within Milan Criteria.
- No extrahepatic disease, no gross vascular invasion, 1 tumor  $\leq 5$  cm,  $\leq 3$  tumors

## Hepatic resection

- Few patients eligible due to cirrhosis; contraindicated in portal HTN.
- Recurrence rate 50% at 18 months.

## Regional therapy - field is rapidly evolving

- Trans-arterial selective delivery of embolic material +/-:
  - cytotoxic agent, drug-eluting beads, yttrium90-embedded microspheres.
- Level 1 evidence of benefit only for solitary tumors  $< 8$  cm, normal bilirubin.
- Contraindicated when bilirubin  $>3$ , main PVT.
- **Advanced HCC  $>75\%$  of patients:**
- Median survival 6-8 months
- Sorafenib improves median survival from 7.9 to 10.7 months , but with chronic side effects.



# Systemic chemotherapy for patients with advanced HCC

- ▶ HCC is a highly chemotherapy-resistant tumor.
- ▶ Hepatocytes and HCC cells produce over express multi-drug resistance (mdr1) gene > produce cellular efflux pumps.
- ▶ Numerous classes of conventional cytotoxic drugs have been studied in HCC:
  - ▶ Anthracyclines
  - ▶ Taxanes
  - ▶ Anti-metabolites
  - ▶ interferons
  - ▶ cell cycle inhibitors



Despite 30 years of clinical trials of numerous chemotherapy agents, no drug or combination showed patient benefit.

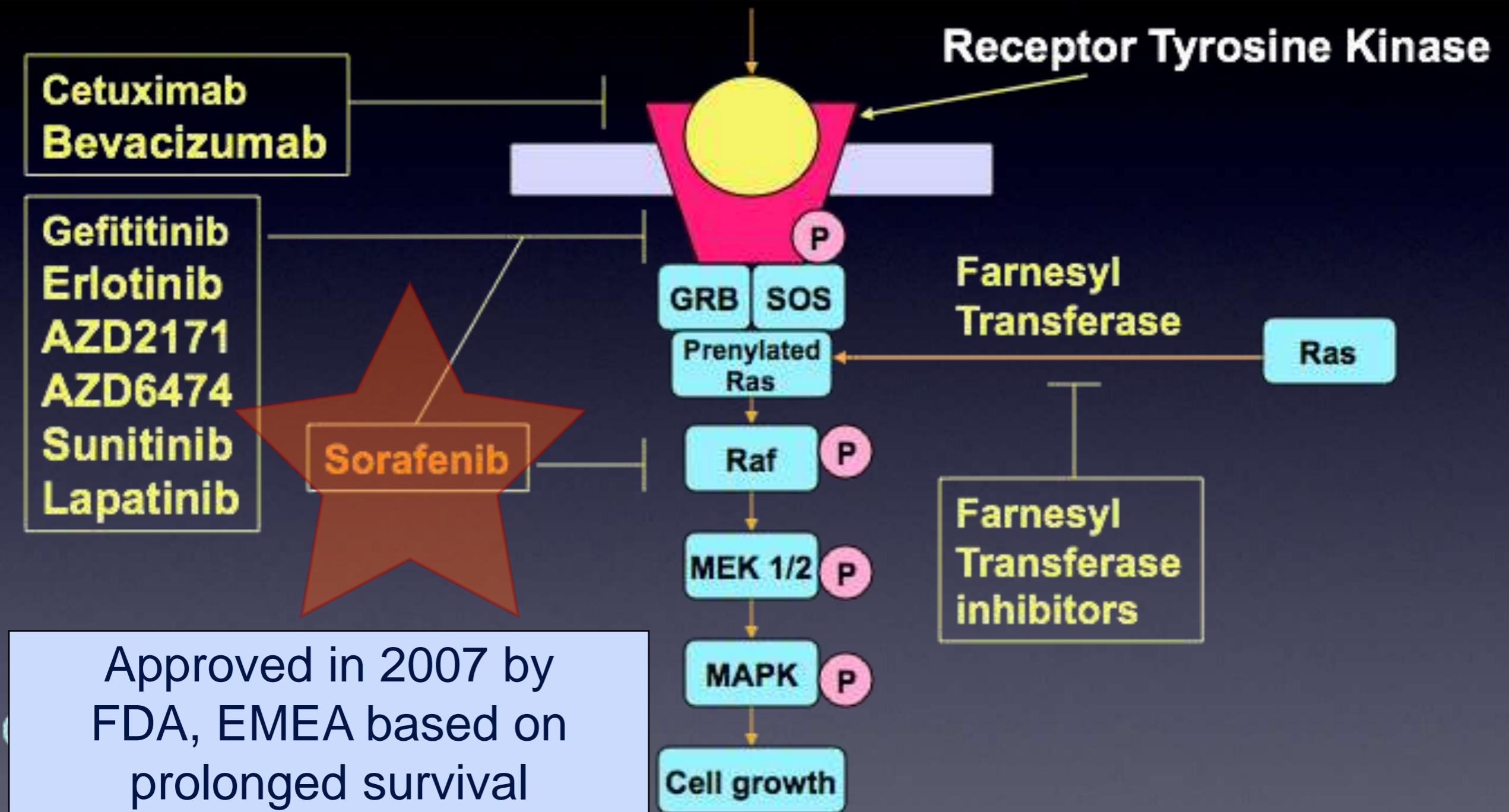


# Negative Randomized Chemotherapy Clinical Trials in HCC

Study	Regimen	Phase	N	RR%	MS (mos)
Yeo JNCI 2005	*PIAF vs adriamycin	III	94/94	20.9 vs 10.5	8.6 vs 6.83
Posey et al ASCO 2005	TI38067 vs adriamycin	II/III	169/170	NA	5.7 vs 5.6
Gish et al JCO 2007	Nolatrexed vs doxorubicin	II	37/17	0	4.9 vs 3.7
Mok et al JCO	Nolatrexed vs doxorubicin	III	444	1.4 vs 4.0	5.5 vs 8 (p=.0068)
Barbare	Tamoxifen vs BSC	II	210/210	NA	4.8 vs 4.0
Dollinger et al ASCO 2008	Thymosin vs placebo	III	65/68	NA	5.0 vs 5.2
SUN 1170	Sunitinib vs sorafenib	III		NA	Trial closed at 1st interim analysis

# TARGETED THERAPY FOR HCC

## The Dawn of a New Era



# Recent Trials of Molecular-Targeted Agents in HCC

Regimen	Phase	Sample size	RR%	PFS/TTP	Median survival (months)	Reference
Sorafenib	2	137	2.2		9.3	Abou Alfa et al JCO 2006
Sorafenib vs placebo ("SHARP" trial)	3	602	2.3	5.5 (T)	10.7 (vs 7.9 placebo, p=0.00058)	Llovet et al NEJM 2008
Sorafenib vs placebo	3	150/76		TTP 2.8 vs 1.4 PFS 2.8 vs 1.4	6.2 (vs 4.1 placebo)	Cheng et al Lancet Oncology 2009
Sorafenib + doxorubicin vs doxorubicin + placebo	RII	47/49	4/2	8.6/4.8 (T)	13.7/6.5	Abou Alfa et al
Bevacizumab	2	46	13	6.9 (P)	12.4	Seigel et al JCO 2008
Sirolimus	2	21	4.7		6.5	Rizell et al 2008
Erlotinib	2	38	9	3.2 (P)	13	Philip et al JCO 2005
Erlotinib	2	40	0	6.3 (P)	10.75	Thomas et al Cancer 2007
Cetuximab	2	32	0	1.4	9.6	Zhu et al Cancer 2007
Gefitinib	2	31	3	2.8 (P)	6.5	O'Dwyer et al ASCO 2006
Sunitinb	2	34	2.9	3.9	9.8	Zhu et al JCO 2009
Sunitinb	2	37	2.7	5.2	11.2	Faivre et al ASCO 2007
Brivinib	2	55		2.8 (T)	10	Raoul et al 2009
Bevacizumab + erlotonib	2	40	25	9.0 (T)	15.65	Thomas et al JCO 2009
Bevacizumab + erlotonib	2	59	27.5		No prior Tx: 15.0 (n=44) Prior sorafenib: 8.2 (n=7) Prior other Tx" 17.9 (n=8)	Kaseb, Garrett-Meyer, Thomas et al 2011 (in press)

# Linking Targeted Agents\* to Molecular Targets in Cancer: *What is the Evidence?*

Agent	Target	Tumor type	Effect	Target Validation
Trastuzumab Lapatinib	HER2 receptor HER1-2 heterodimers	Her2-overexpressing breast cancer	Improves <b>survival</b> Decreases recurrence as adjuvant therapy	yes
Bevacizumab	mAB binds serum VEGF A ligand	Metastatic colorectal, lung, breast cancers	Improves <b>survival</b> , TTP in metastatic colon, lung, breast cancers	no
Cetuximab (EGFR mAb)	Extra-cellular domain EGFR	Irinotecan-refractory colorectal cancer	Improves <b>survival</b> , <b>TTP</b> in metastatic colon	Kras mutants do not benefit from EGFR mAb
Gefitinib Erlotinib (EGFR TKI)	Intracellular phosphorylation site	non-small cell lung pancreatic	Improves <b>survival</b> NSCLA, 2nd line Improves PFS in pancreatic ca by <2 wks	EGFR mutations in minority of patients predict benefit
Sorafenib	Raf-ras pathway VEGF	RCC, HCC	Improves <b>survival</b> , <b>TTP</b>	no
Sunitinib	Raf-ras pathway VEGF	GIST RCC	Improves <b>survival</b> , <b>TTP</b>	no
Bortezomib	mTOR	Myeloma	Improves <b>survival</b> decreases transfusions	no
Imatinib	C-kit	GIST CML	Improves RR, survival Decreases recurrence	yes

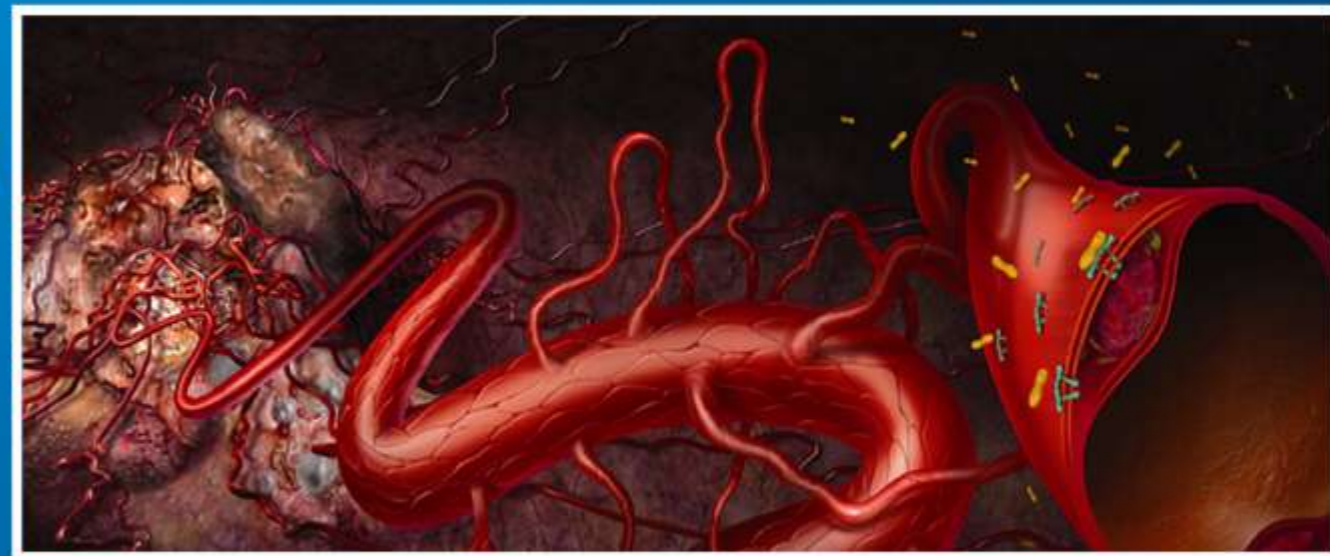
# Evidence for the Critical Role of Angiogenesis in HCC:



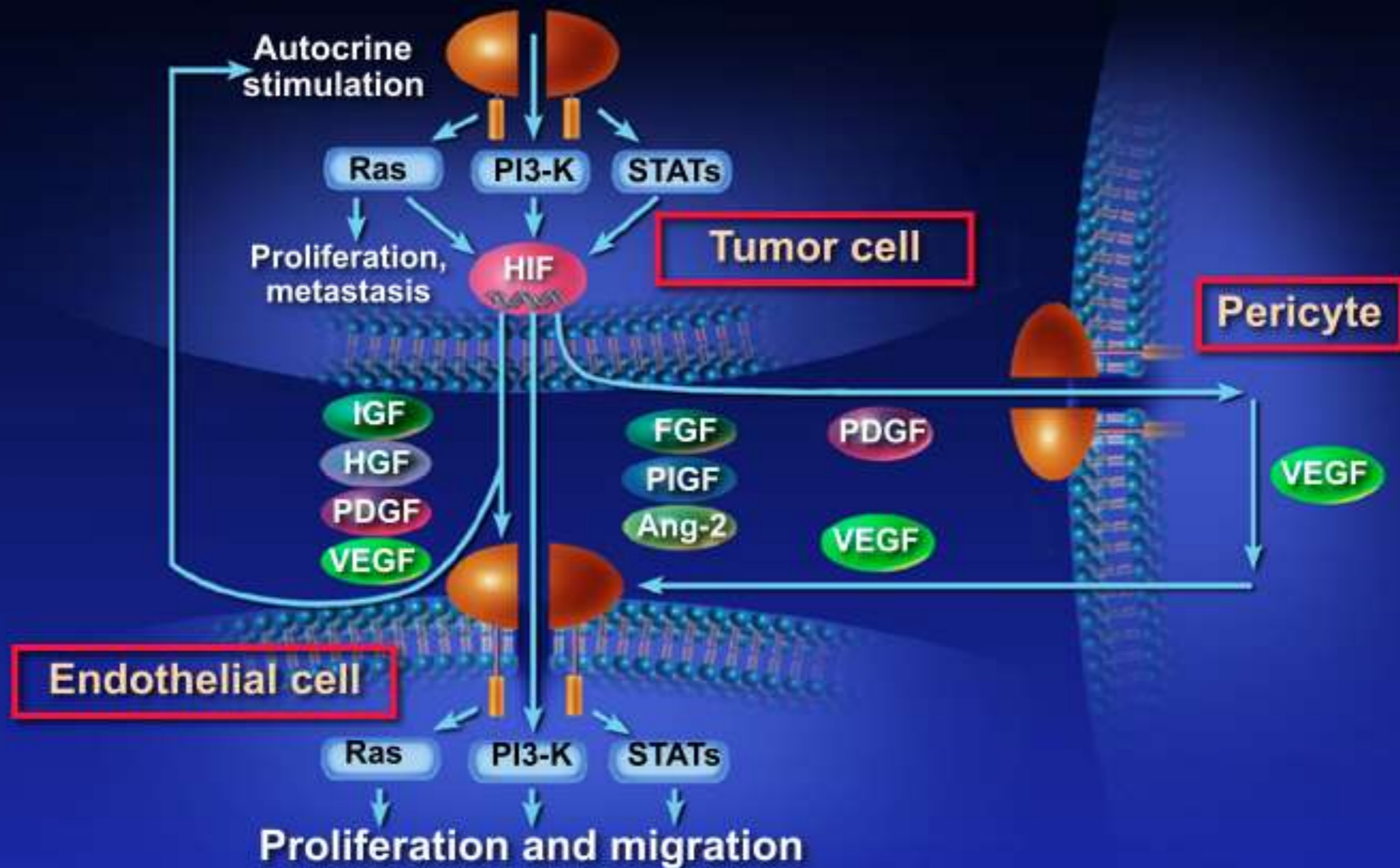
- ▶ HCC are highly vascularized, propensity for vascular invasion
- Growth factors EGF, TGF $\beta$ , HGF, VEGF all involved in normal liver regeneration
- Increased growth factors expression seen in chronic hepatitis, cirrhosis, dysplastic nodules, HCC cell lines and tissue
- VEGF over-expression and increased microvessel density (MVD) common in HCC
- VEGF gene is transcribed, expressed and VEGF secreted by HCC
- High VEGF expression in HCC measured by immunohistochemistry (IHC) tissue significantly associated with:
  - increased arterialization
  - poorer tumor differentiation
  - high proliferative index
  - poor tumor encapsulation

# Angiogenesis in HCC Progression

- Angiogenic factors implicated in HCC include
  - VEGFs (vascular endothelial growth factors)
  - PDGFs (platelet-derived growth factors)
  - PlGF (placental growth factor)
  - TGF- $\alpha$ , TGF- $\beta$  (transforming growth factors-alpha, -beta)
  - bFGF (basic fibroblast growth factor)
  - EGF (epidermal growth factor)
  - HGF (hepatocyte growth factor)
  - ANGs (angiopoietins)
  - IL-4, IL-8 (interleukins-4, -8)



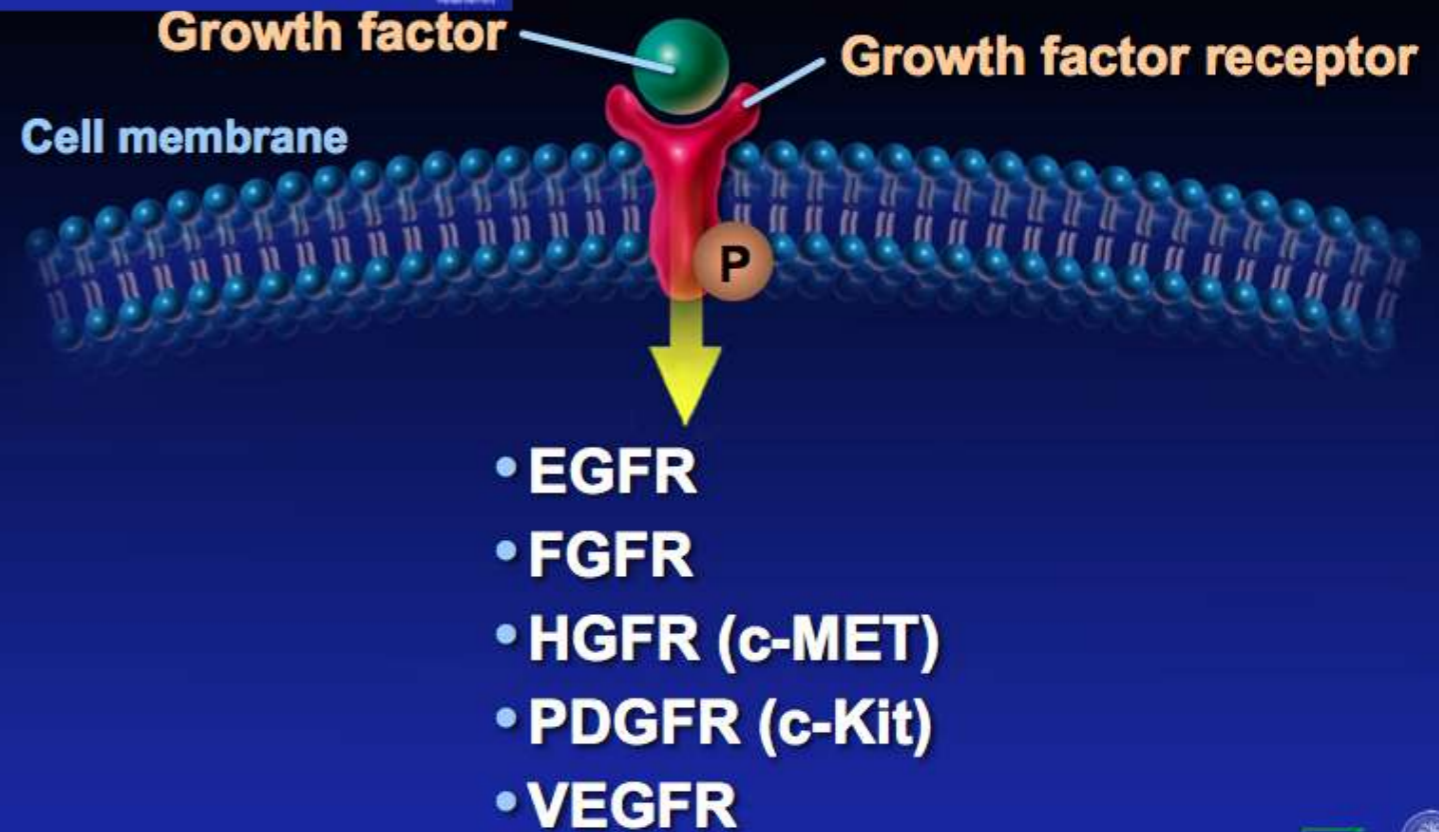
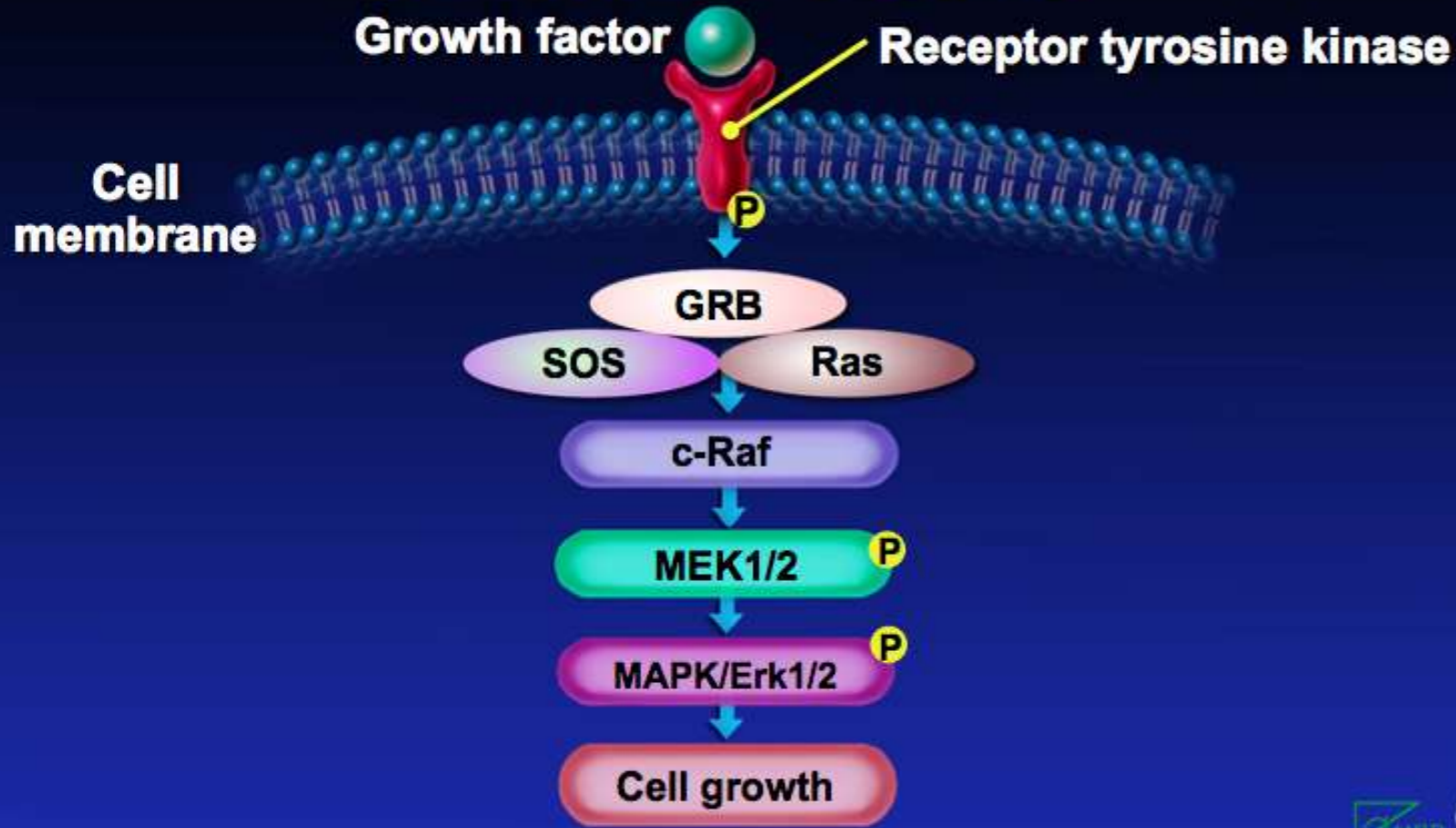
# Angiogenic Signaling in Cancer



<b>Factor</b>	<b>Role in hepatocellular carcinoma</b>
<b>HGF</b> (hepatocyte growth factor)	Known pro-angiogenic growth factor, acts via c-met. Common in hepatocyte regeneration; predicts poor prognosis.
<b>EGF</b> (epidermal growth factor receptor)	Known mitogen in multiple tumor types; Increases HCC proliferations in multiple cell line. EGF over-expression common in chronic hepatitis, cirrhosis and HCC (40-80%).
<b>FGF</b> (fibroblast growth factor receptor)	Upregulates DNA synthesis. Mitogenic for hepatocytes, potent inducer of angiogenesis. Interacts with EGFR; Frequent in hepatitis, cirrhosis, HCC; not in normal liver
<b>IGF</b> (insulin-like growth factor) family	Common in fetal liver; declines after birth; highly prevalent in HCC IGF pro-carcinogenic in many tumor types. May be link between fatty liver and HCC. Preclinical data shows anti-IGF-1 tyrosine kinase inhibitors induce growth inhibition, apoptosis, cell cycle arrest in HCC cell lines.
<b>PDGF</b> (platelet-derived growth factor)	An angiogenic molecule promotes endothelial cell migration. May be potent stimulator of angiogenesis in HCC.



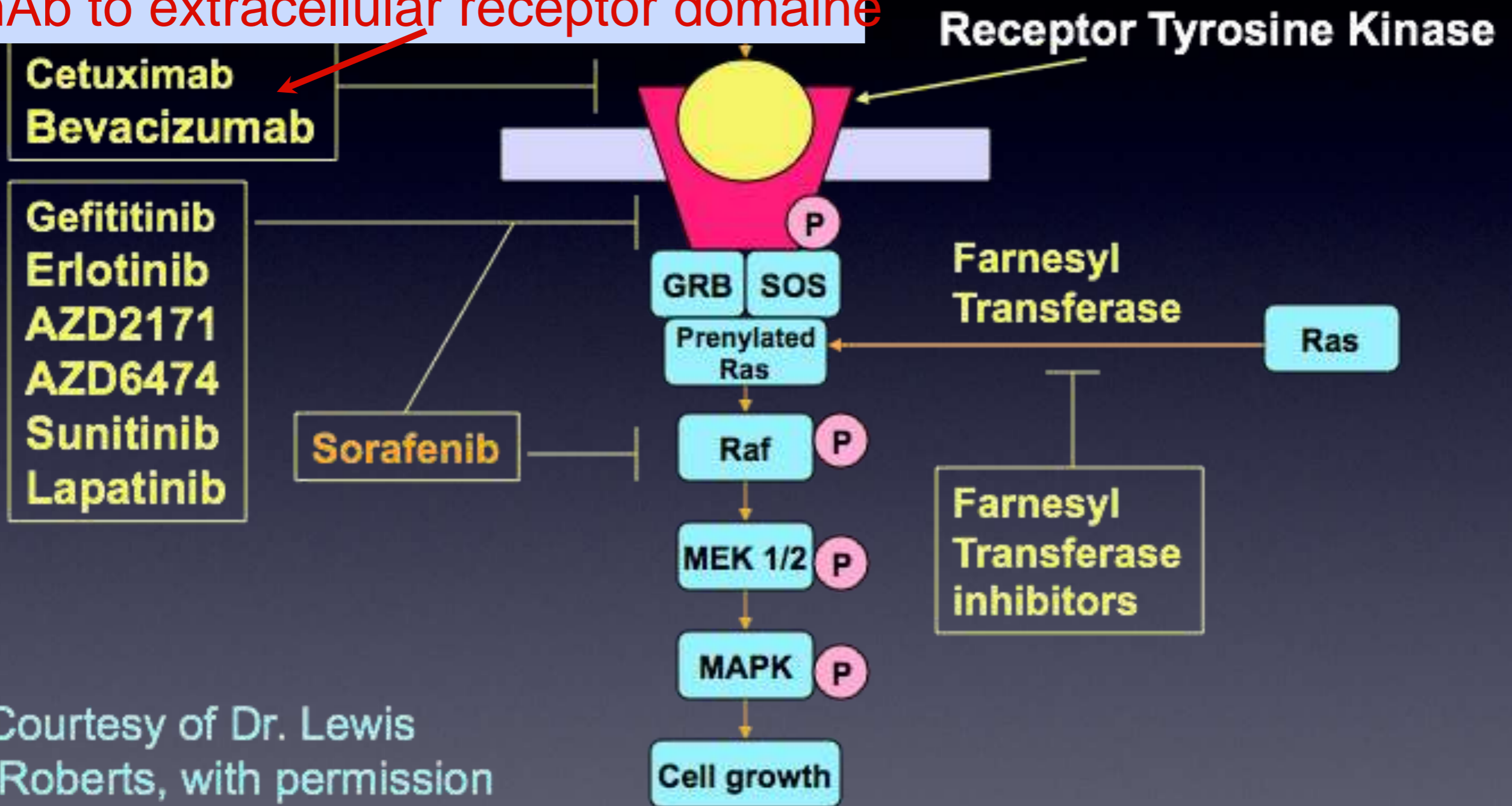
# Growth Factor Signaling



# TARGETED THERAPY FOR HCC

## The Dawn of a New Era

mAb to extracellular receptor domain



Courtesy of Dr. Lewis Roberts, with permission

# Recent Trials of Molecular-Targeted Agents in HCC

Regimen	Phase	Sample size	RR%	PFS, TTP	Median survival (months)	Reference
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# Phase II trial of bevacizumab and erlotinib in HCC

- **Trial based on:**
  - Importance of VEGF and EGF in HCC carcinogenesis.
  - Improved survival of bevacizumab, erlotinib in multiple other tumor types.
- **Single-arm, open label trial of B+E in unresectable HCC**
  - Bevacizumab (humanized mAb against VEGF-A ligand)
  - Erlotinib (oral tyrosine kinase inhibitor TKI binds EGF)
- **Primary Endpoint progression-free survival at 16 weeks therapy >50%**
  - Based on “historical controls” from small Phase II trials (pre-SHARP trial results)
  - Goal: identify meaningful “biologic signal” of drug activity
  - Evaluate safety of dual targeted agents in HCC.
- **Eligibility criteria:**
  - One prior systemic therapy allowed; unlimited regional treatments
  - Performance Status 0-2
  - Childs-Pugh A, B; bilirubin <2, transaminases  $\leq 5$  XULN, platelets  $\geq 60,000$
  - Portal vein thrombus allowed
  - No fibrolamellar HCC
  - Prior variceal bleeding allowed if >3 months.

# Summary of Survival Data: ITT Analysis

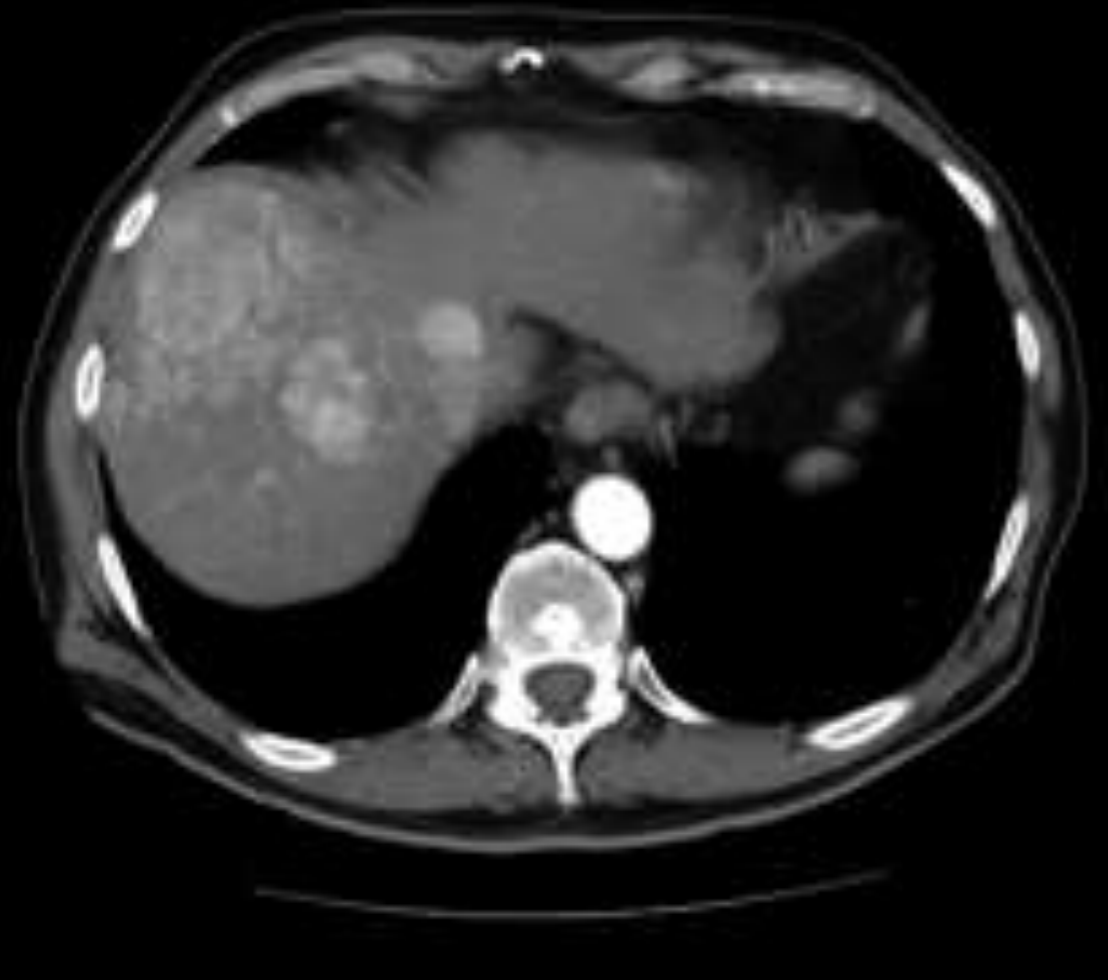
<b>Prior treatment history</b>	<b>PFS in months Median (95% CI)</b>	<b>OS in months Median (95% CI)</b>
<b>No prior treatment (n=44)</b>	8.8 (5.5, 10.1)	15.6 (9.5, 19.5)
<b>Prior treatment with sorafenib (n=8)</b>	7.9 (4.2, 13.3)	13.3 (4.2, 22.3)
<b>Prior treatment with other systemic therapy (n=6)</b>	6.6 (1.9, 11.0)	14.4 (1.9, Inf*)

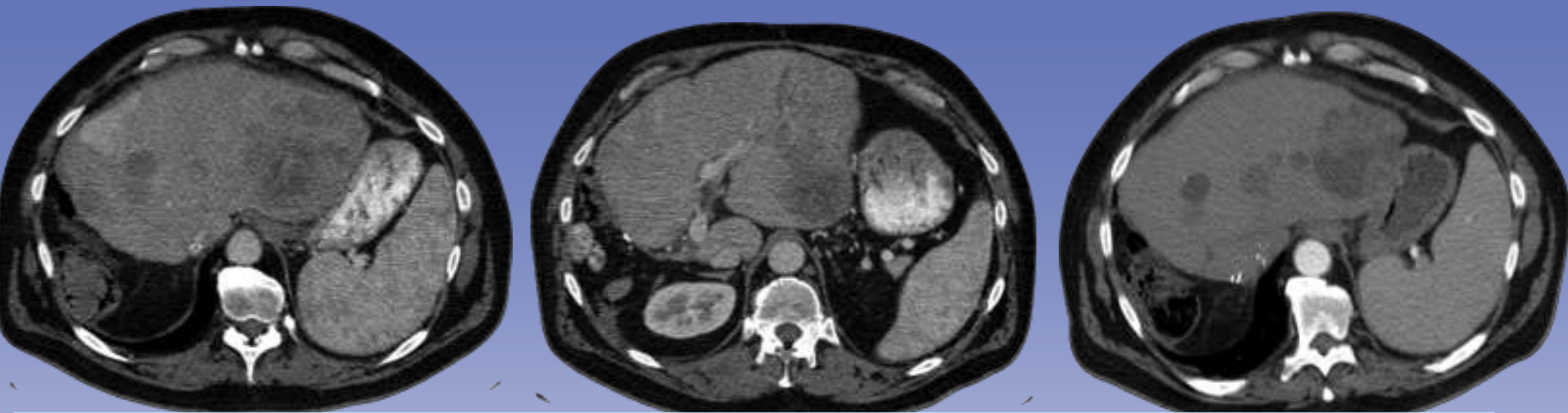
\*Upper limit is infinite because of the small number of patients with prior treatment with regimens not including sorafenib

Radiographic evidence of tumor responses in  
HCC patients treated with **bevacizumab** and  
**erlotinib**

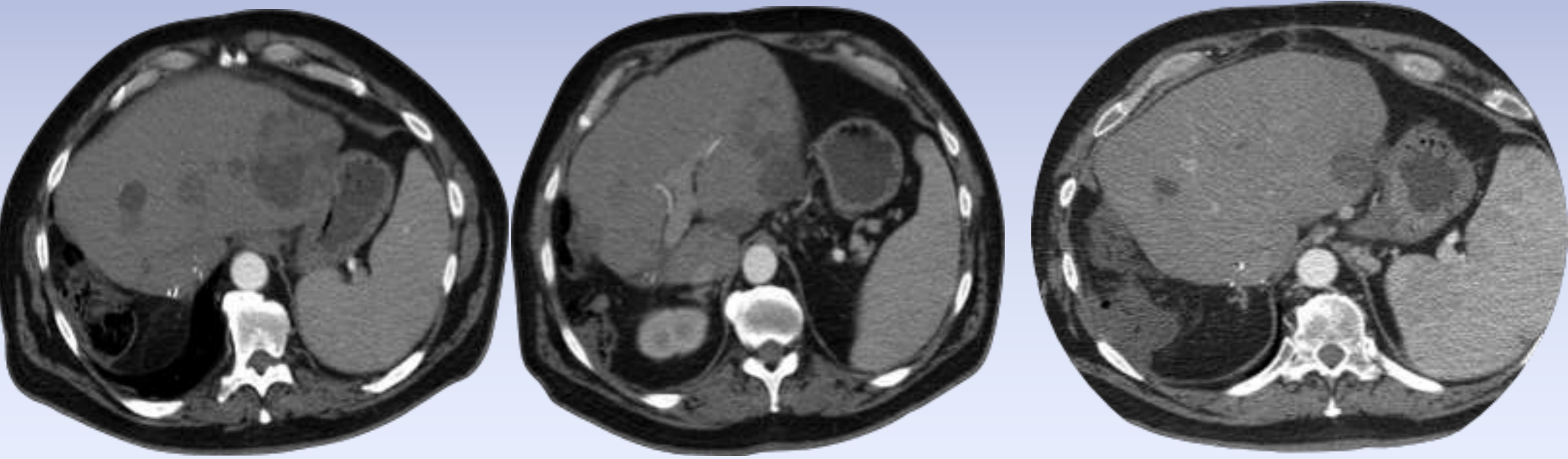
Computed tomography of the abdomen of a 76 year old man with multi-focal hypervascular HCC in right lobe liver, baseline.

Decreased tumor vascularity, partial tumor response after 6 months bevcizumab and erlotinib





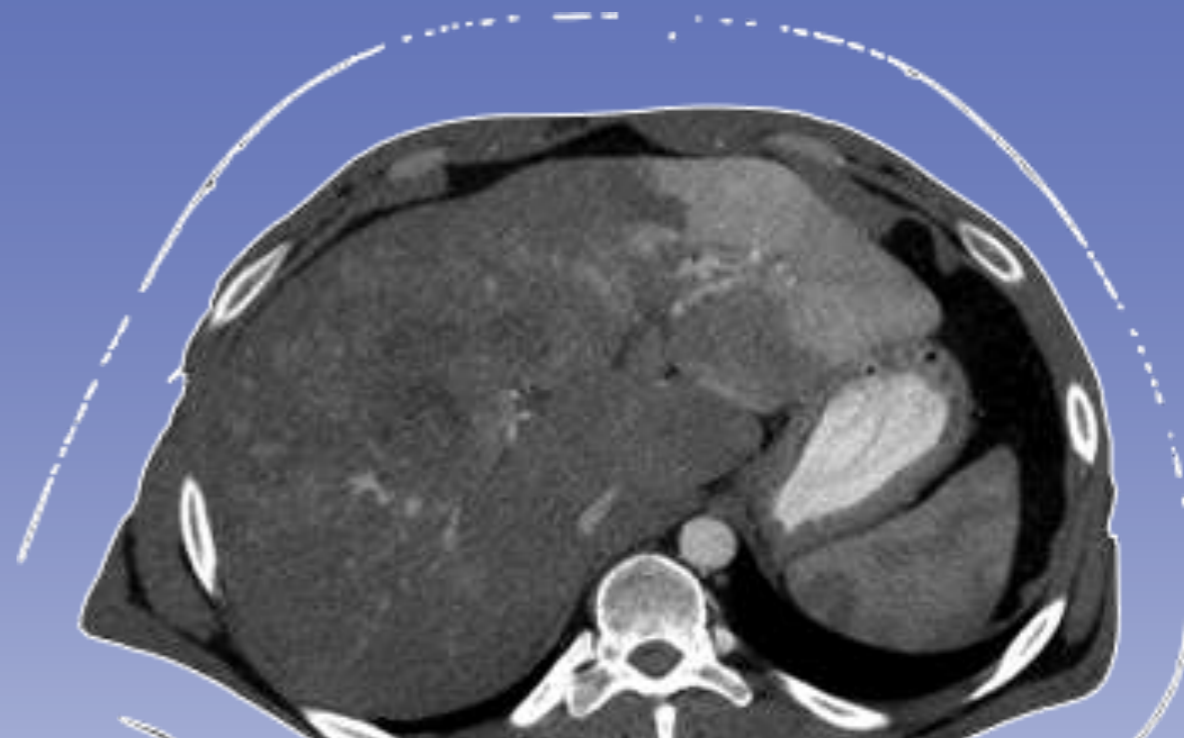
**62 yo man with multifocal recurrent HCC, PVT 4 mos after extended rt hepatectomy  
Baseline alpha-fetoprotein (AFP) 214,046**



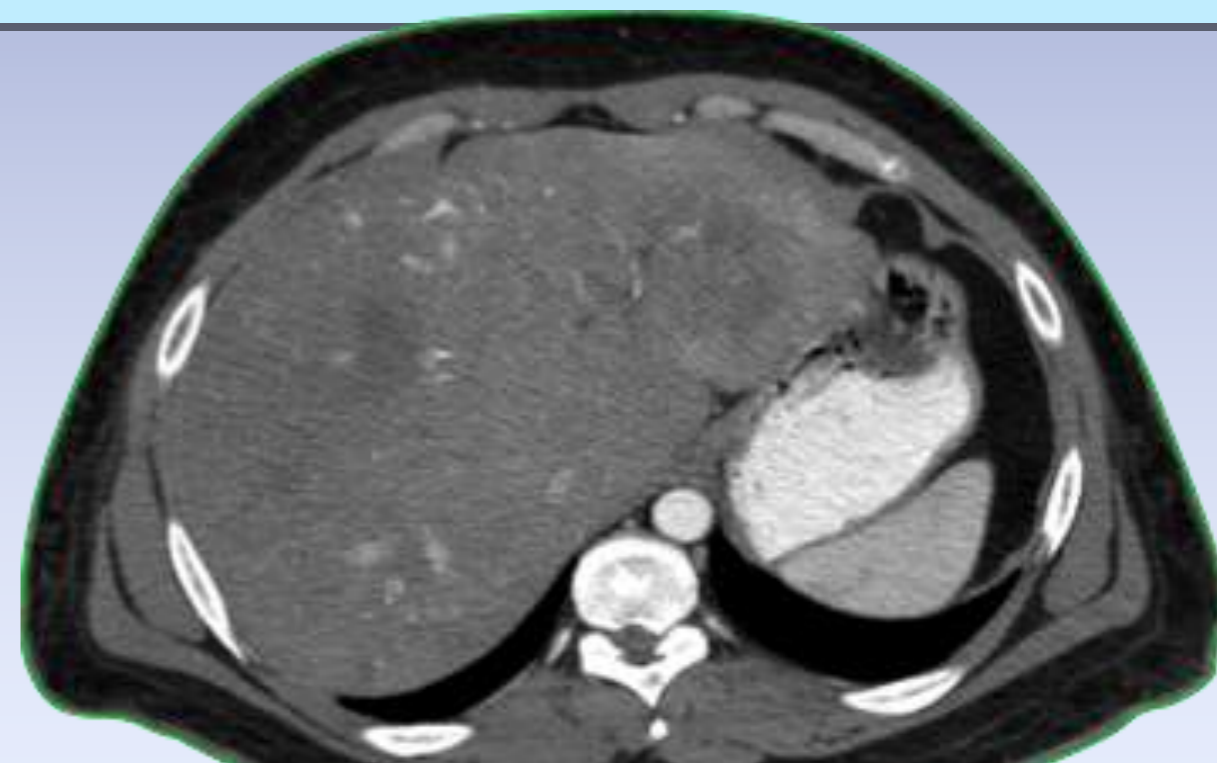
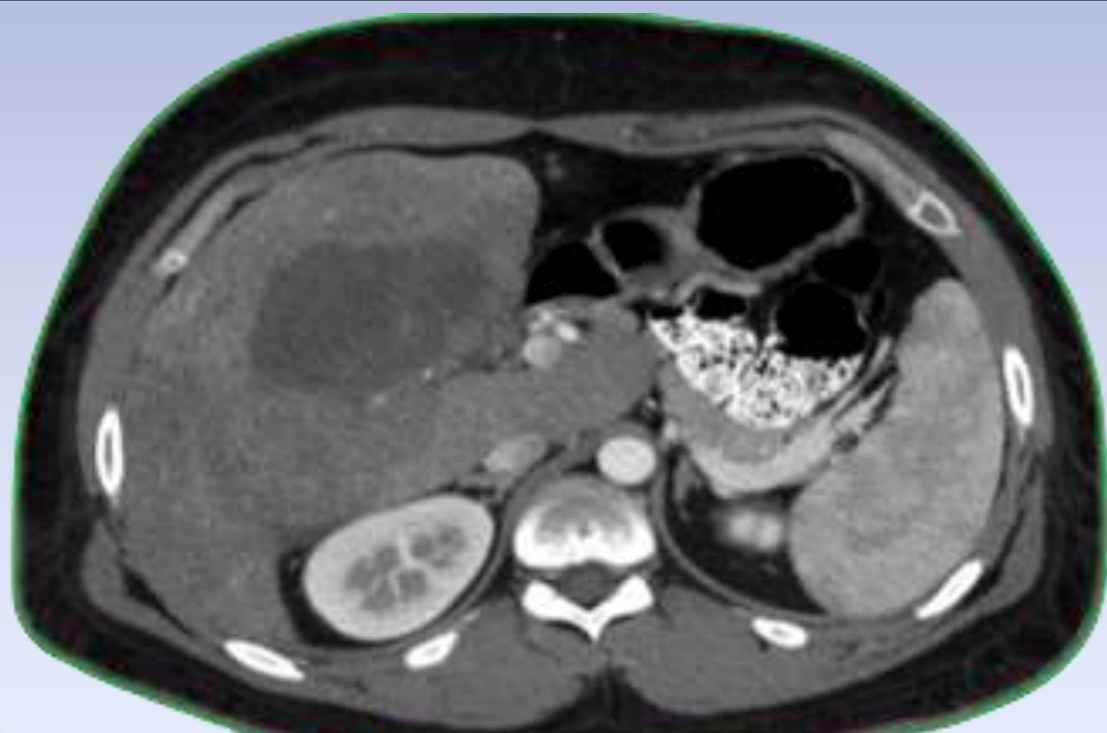
**After 16 weeks B+E, partial response, AFP 287**

**Sustained PR after 10 mos**

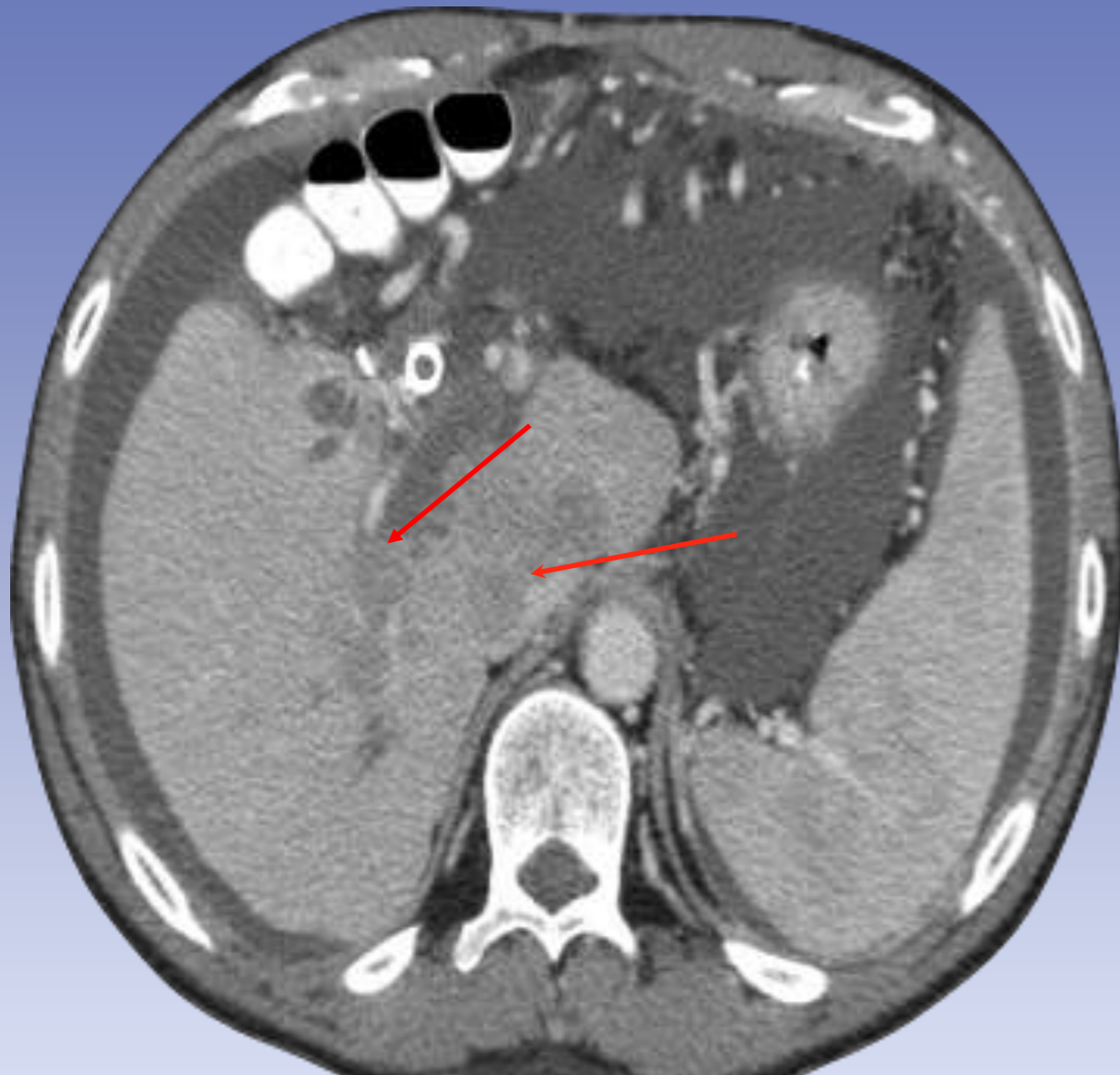




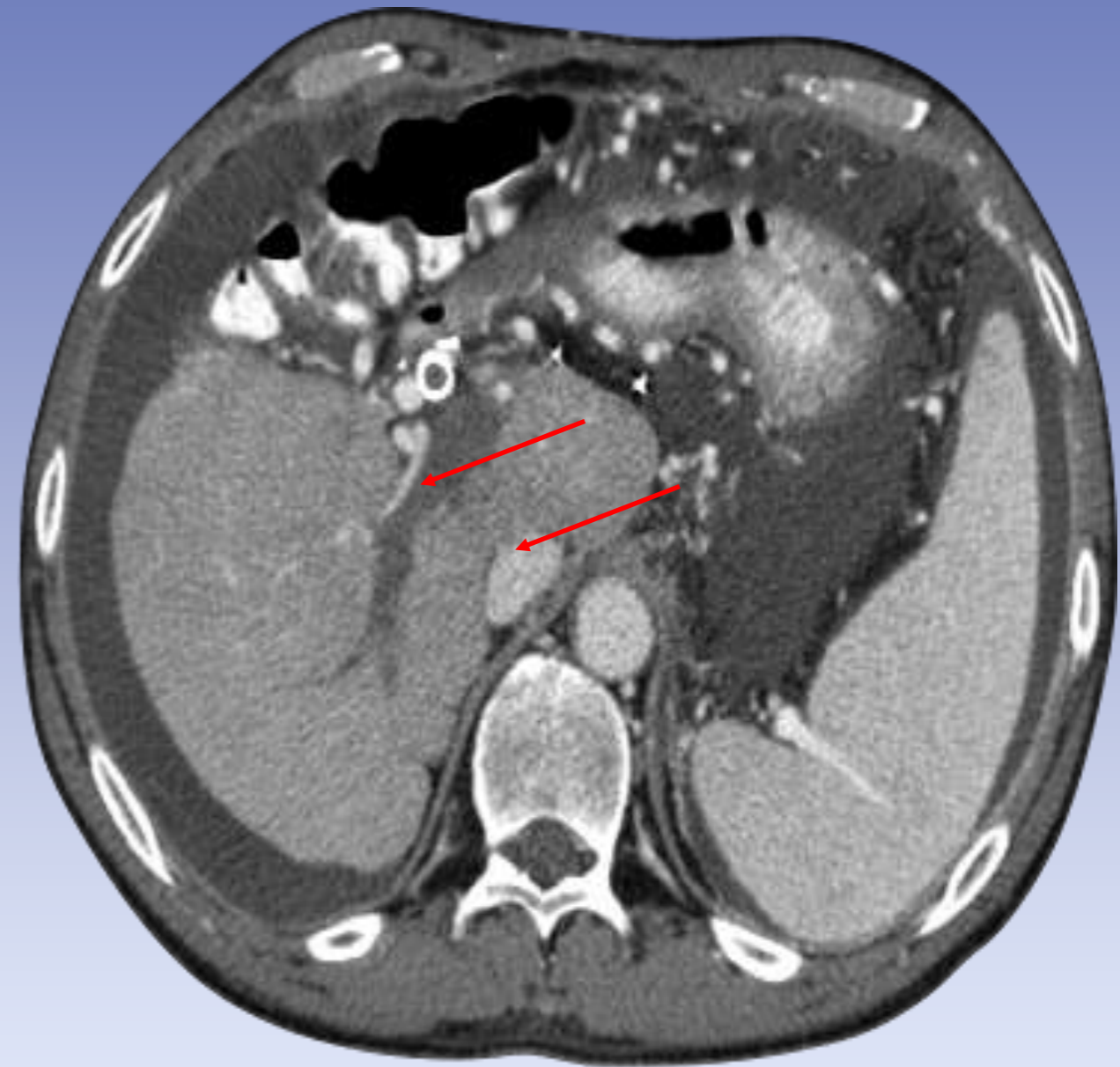
**29 yo man with cirrhosis, metabolic syndrome, massive HCC. Baseline, AFP 70,000**



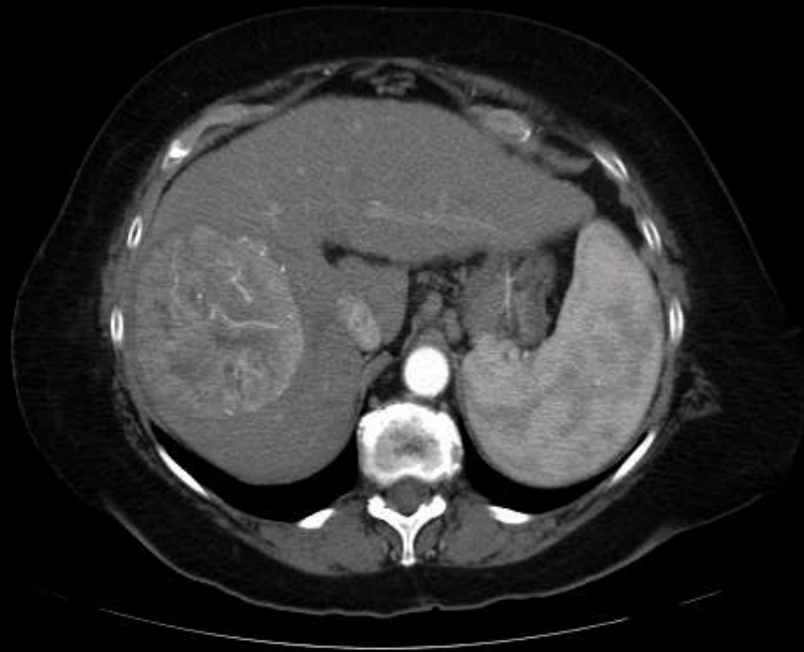
**Restaging CT after 16 weeks bevacizumab +erlotinib decreased tumor vascularity, AFP 30,000**



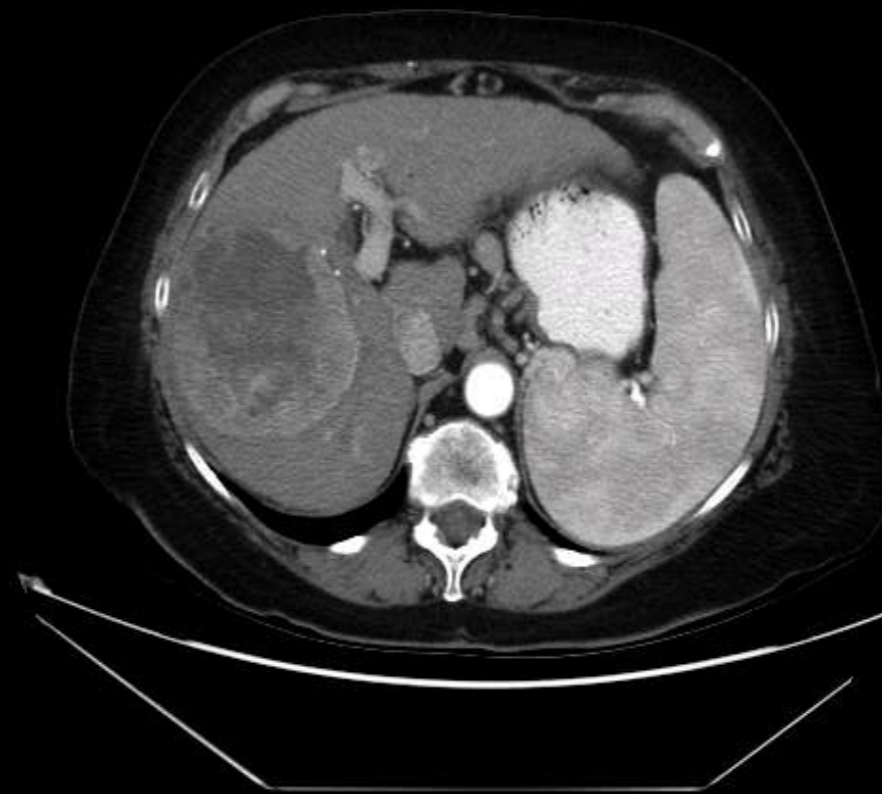
**50 yo man with recurrent HCC in right portal vein (tumor thrombus) and adjacent liver.  
Tumor effacing IVC. Baseline AFP 2,073**



**PR after 8 weeks B+E, improved IVC flow. AFP 482**



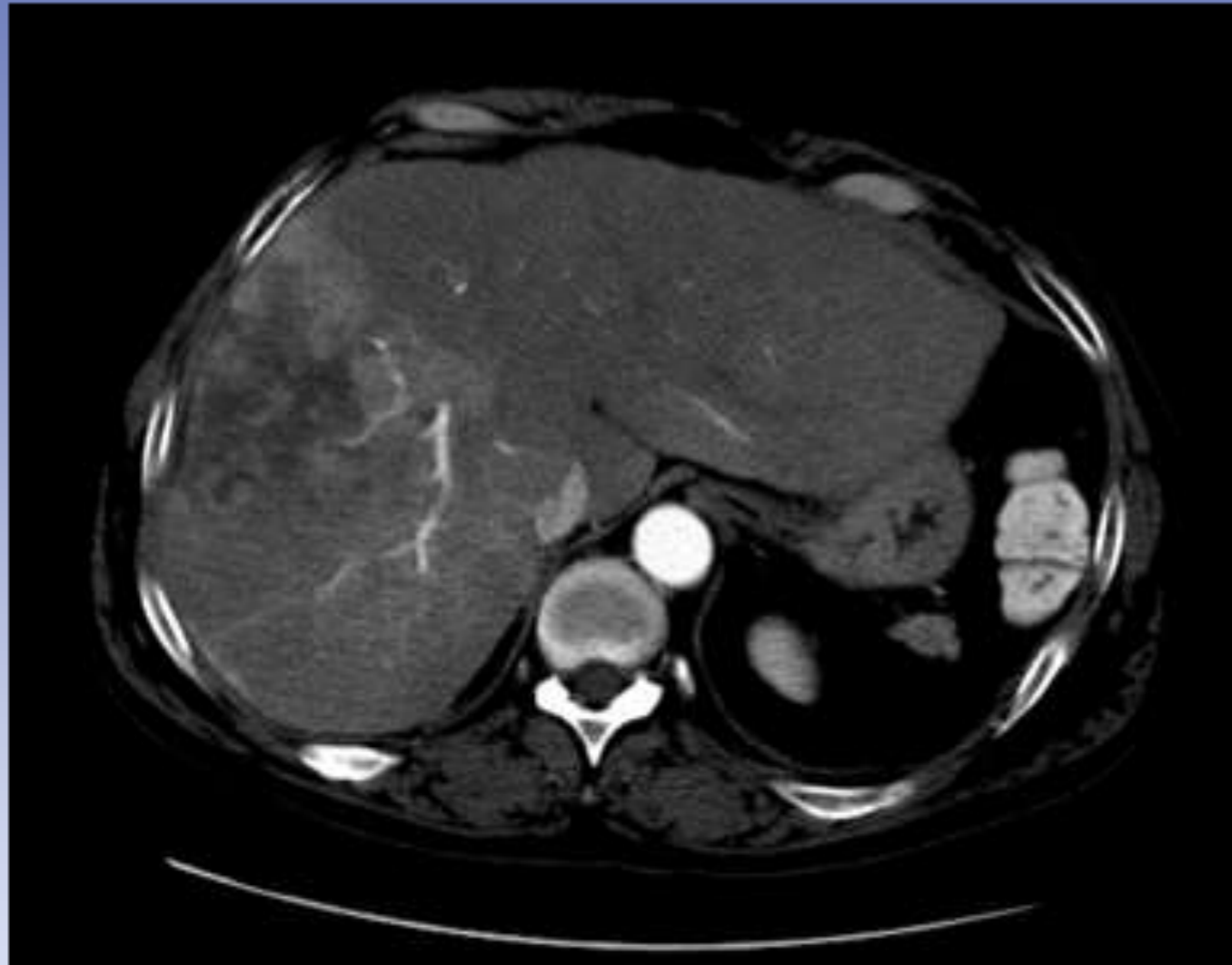
63 year old woman  
with hypervascular  
HCC, right lobe.  
Significant portal HTN  
precluded resection.

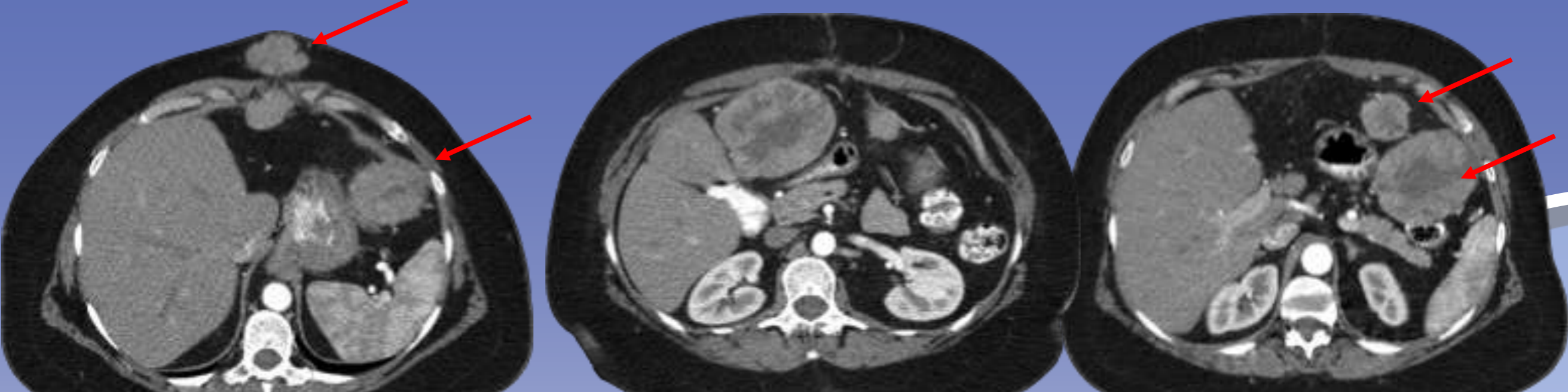


Decreased tumor  
vascularity after 8, 16  
weeks treatment with B+E.



# Radiographic Evidence of Tumor Response to B+E in Second-Line Setting After Progression on Sorafenib





**48 yo woman presented with ruptured 9 cm HCC 8/05, underwent primary resection. Developed recurrent multiple abdominal implants 3/06; failed 5FU/IFN**



**Patient treated with B+E off-protocol; significant radiographic response after 6 mos. 2/07 underwent resection of prior incision, port sites, residual implants. No tumor detected in any specimen=pCR. Free of disease June 2008**

Thomas et al Journal of Surgical Oncology Oct 2007

# An exciting time in hepatocellular carcinoma...

- **SHARP trial established sorafenib as standard of care systemic therapy for advanced disease patients**
- **Explosion of interest and clinical research in HCC-**
  - **156 actively recruiting, phase II or III interventional trials in HCC listed on [www.clinicaltrials.gov](http://www.clinicaltrials.gov)**
  - **Broad international recruitment**
  - **Multiple trials for each stage of disease**
- **Numerous targeted agents are now in clinical trials**
- **.....However - are the “right” agents being studied?**

# Current Randomized Trials in HCC

Regimen	Study Phase	Rationale
Sorafenib vs Sunitinib	III	OS Sorafenib 10.7 months Ph III <b>OS Sunitinib 8-9.8 months in 2 Ph II</b> Closed at 1st interim analysis for futility
Sorafenib vs Erlotinib + Sorafenib	III	OS Sorafenib 10.7 months Ph III
Sorafenib vs Bevacizumab+Erlotinib	Randomized Ph II	OS Sorafenib 10.7 months Ph III TTP 5.5. vs 2.8 months Response rate 28% <b>OS B+E 15.6 months (n=58)</b> <b>TTP 8.8 months</b>
Sorafenib vs Brivinib	III	OS Sorafenib 10.7 months Ph III <b>OS 10 months Ph II</b>
Sorafenib vs doxorubicin+sorafenib	III	OS Sorafenib 10.7 mos Ph III <b>OS Dox+S 13.8 mos Randomized Ph II</b>
Sorafenib vs ABT869	RII	OS Sorafenib 10.7 mos Ph III <b>OS 9.7 months Ph II</b>

# Molecular Biology of Hepatocellular Carcinoma and Targeted Therapies

## Conclusions:

- ▶ HCC is a highly molecularly complex tumor...
- ▶ ...Yet a single agent sorafenib, that targets ras-raf-signaling pathway is the first chemotherapy agent to improve patient survival.
- ▶ The prominent role of growth factor dysregulation in HCC provides opportunities to develop potent, combination targeted therapy strategies.
- ▶ It is essential to develop *molecular characterization systems* of all stages and etiologies of HCC.





*Molto grazie*  
*Please come visit Charleston, South Carolina*

